

SUMMARY OF NCs

FSC® FOREST MANAGEMENT

AFLOBEI – Associação de Produtores Florestais da Beira Interior

Client number:	816719
Type of audit:	Acompanhamento

Date(s) and length of audit:	27/02 a 01/03/2019 e 02/07/2019 4 dias
Name of auditor(s):	Luis Vaz Freire – Auditor Coordenador Lennart Holm – Auditor Jaime Caiado - Auditor Gerben Stegeman (Observador/Auditor Testemunho)
Inspected sites:	Sede da AFLOBEI Companhia Agrícola Penha Garcia, S.A. MAIEQUIPA – Gestão Florestal, S.A. BIOESTILHAS – Biomassas e Estilhas, Lda. Casa Agrícola Herdade do Monte Novo, S.A.
Date closing meeting:	02/07/2019

Certificate issued by: Control Union Certifications
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Certifier: Mr. Gerben Stegeman
(contact person):



The mark of
responsible forestry

SUMMARY OF NON-CONFORMITIES (NCs)

Minor non-conformity:

- it is a temporary lapse, or
- it is unusual/non-systematic, or
- the impacts of the non-conformity are limited in their temporal and spatial scale, and
- it does not result in a fundamental failure to achieve the objective of the relevant FSC Criterion or another applicable certification requirement.

Minor non-conformities shall be corrected within one (1) year (under exceptional circumstances within two (2) years).

Major non-conformity:

A non-compliance shall be considered major if, either alone or in combination with further non-conformities, it results in, or is likely to result in a fundamental failure:

- to achieve the objective of the relevant requirement of the relevant FSC Criterion, or
- in a significant part of the applied management system.

The cumulative impact of a number of minor NC's may represent a fundamental failure or total breakdown of a system and thus constitute a major NC.

Fundamental failure is indicated by non-conformity which:

- continues over a long period of time, or
- is repeated or systematic, or
- affects a wide area and/or causes significant damage, or
- is indicated by the absence or a total breakdown of a system, or
- is not corrected or adequately responded to by the client once identified.

Major non-conformities shall be corrected within three (3) months (under exceptional circumstances within six (6) months).

Major non-conformities are considered as preconditions and therefore must be corrected **before** a certificate can be issued or re-issued. Minor non-conformances do not prohibit issuing the certificate, but they must be addressed within the given timeframe to maintain the certificate.

The occurrence of **five (5) or more major non-conformities** in one surveillance audit shall be considered as a total breakdown of the certificate holder's management system and in those cases the certificate shall be suspended

Observations:

The early stages of a problem which do not of itself constitute a non-conformity, but which the auditor considers may lead to a future non-conformity if not addressed by the organization. Therefore an observation concerns a warning signal on a particular issue that, if not addressed, could turn into an NC in the future.

1. Assessment of non-conformities raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised during and after previous audit report

Below an overview is given of the actions taken by the client/certificate holder to correct any NC's identified at previous evaluations or subsequently and have remained with the status "open" since the last audit. All NC's identified at previous evaluations or subsequently that have already been closed before can be found in previous evaluation reports.

For each NC a description of its current status is given. In the case a minor NC has not been settled within the given timeframe, it has been upgraded to a major NC. If a major NC has not been settled within the given timeframe, the certificate will get suspended.

MR

NA

2. Identified non-conformities¹ during present evaluation

NA

3. Observations

NA

4. Overview of NC's

	<i>Major</i>	<i>Minor</i>	<i>Total</i>
<i>No. NC's raised during previous audits, but still open after present evaluation</i>	0	0	0
<i>No. NC's raised during present audit</i>	0	0	0
<i>Total number outstanding NCs</i>	0	0	0

5. Recommendation

The lead auditor recommends the following to the CUC Certifier:

IN CASE OF MAIN AND RE-EVALUATION

The Organization is in conformance with the certification requirements (all major NC's are closed), and (re-)certification is approved.

The Organization is NOT in conformance with the certification requirements (all major NC's are not closed), and (re-)certification is not approved.

IN CASE OF SURVEILLANCE AUDIT

The Organization is in continued conformity with the certification requirements (all NC's are closed), and certificate should be maintained.

The Organization is in conformity with the certification requirements, and certificate should be maintained provided that open NC's as mentioned in this report are closed within the given timeframes.

The Organization is NOT in conformity with the certification requirements and certificate should be suspended.

¹ The NC's may be amended, withdrawn and new NC's may be added upon finalization of the Certification Report. Non-conformities will be confirmed formally upon completion of the Certification Report which will be sent by e-mail as a pdf file by the CUC Certifier or assistant.



I the undersigned, being the legal representative of the inspected company, confirm that the above points have been explained. This document is a record of the closing meeting.

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Function: GESTORA DO GRUPO Av General Humberto Delgado, 57 - 1º
0000-081 CASTELO BRANCO

Date: 09/07/2019

Signature: Marta Mibeiro Telles